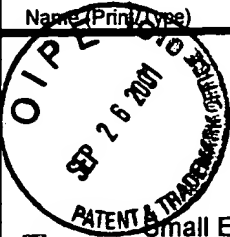


# CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20234.

Name (Print/Type) Donna Macedo Signature *Donna Macedo* Date 09-17-010



## TRANSMITTAL

☒ Small Entity ☐ Large Entity

Application Number	09/852,424
Confirmation Number	5001
Filing Date	May 9, 2001
First Named Inventor	Hassan Salari et al.
Examiner	Unassigned
Group Art	1646
Attorney Docket No.	SMAR014

### ENCLOSED:

☐ Amendment Under Rule

☐ 37 CFR §

☐ Pages

Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
Total	22	20	2	9	\$ 18.00
Independent	5	3	2	40	\$ 80.00
Multiple					
Total Extra Claim Fees					\$ 98.00

☐ Applicants Petition for an Extension of time from \_\_\_\_\_ to \_\_\_\_\_

A \_\_\_\_\_ month extension was previously filed and paid for thereby reducing the basic fee

Fee

☒ Response to File Missing Parts (with copy of formalities letter)

☒ Filing Fee

Fee \$355.00

☒ Executed Declaration

Pages 12

Surcharge Fee \$65.00

☒ Other

Amended Application Data Sheet

Fee

Two Power of Attorney by Assignee

Fee

Notice to File Missing Parts

Fee

Fee

Subtotal \$ 420.00

☐ Information Disclosure Statement

☐ PTO Form 1449

Pages

☐ Copies of Cited References

☐ Other

Fee

Subtotal \$ -

☐ Response to Notice to Comply (with copy of Notice to Comply)

☒ Sequence Listing Certification

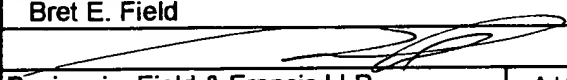
☒ Paper Copy of Sequence Listing

Pages

☒ Diskette in computer-readable format

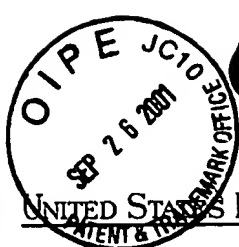
☐ Other

Fee

<input type="checkbox"/> Terminal Disclaimer		Fee	
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group			
<input type="checkbox"/> Notice of Appeal	Pages	_____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages	_____	Fee _____
<input type="checkbox"/> Reply Brief	Pages	_____	Fee \$ -
			Subtotal \$ -
<input checked="" type="checkbox"/> Other Enclosures and/or Fees		<u>Preliminary Amendment</u>	Fee _____
<input type="checkbox"/> Change of Correspondence Address			
<input checked="" type="checkbox"/> Return Receipt Postcard		<b>TOTAL FEES</b>	<b>\$ 518.00</b>
<p><b>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</b></p>			
<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED</b>			
Name (Print/Type)	Bret E. Field		Registration No. 37,620
Signature			Date 09-17-2001
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200
City	Menlo Park	State	California
Telephone - Direct Dial	650-327-3400	Facsimile	650-327-3231

Application No. 09/852,424 Attorney Docket No. SMAR014 Page 2 of 2

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/852,424	05/09/2001	Hassan Salari	SMAR014

CONFIRMATION NO. 5001

## FORMALITIES LETTER



\*OC000000006306505\*

Bret E. Field  
Bozicevic, Field and Francis LLP  
Suite 200  
200 Middlefield Road  
Menlo Park, CA 94025

Date Mailed: 07/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$196.
 

01 FC:201	355.00 CH
02 FC:205	65.00 CH
03 FC:203	18.00 CH
04 FC:202	80.00 CH

  - \$36 for 2 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1036.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file

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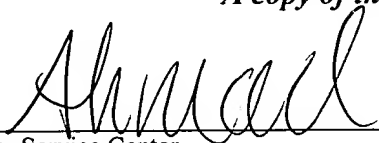
in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE